

Cohomological obstructions to  
local-global principle.

§ 0. Motivation

0.1

$$(0.2) \left\{ \begin{array}{l} f_1(x_1, \dots, x_n) = 0 \\ \vdots \\ f_m(x_1, \dots, x_n) = 0 \end{array} \right.$$

$f_i(x_1, \dots, x_n) \in k[x_1, \dots, x_n]$   
has solution in  $k$ ?

0.3

The language of algebraic geometry

$$X = \text{Spec} \left( k[X_1, \dots, X_n] / \langle f_1, \dots, f_m \rangle \right)$$

the solution of (2.2)

on  $k$  }  $\xleftarrow{\quad \cong \quad}$   $X(k)$

$$\text{Hom}_{\text{Spec } k} (\text{Spec } k, X)$$

$$\text{Hom}_{k\text{-alg}} \left( k[X_1, \dots, X_n] / \langle f_1, \dots, f_m \rangle, k \right)$$

§1 Rational points on varieties  
 $X(k)$

1.1 The (Mordell-Weil)  $A$  - abelian variety  
over a global field  $k$ . Then  
 $A(k)$  is f.g.

1.2 The (Zariski)  $X$  sm. projective, geo. int  
curve of  $g > 1$  over  $\#$  field  $k$ .  
then  $\# X(k) < \infty$

1.3. (Weil conjectures)

$X/\mathbb{F}_q$  f.f. scheme.  $\bar{X} := X \times_{\mathbb{F}_q} \bar{\mathbb{F}}_q$

$$Z_X(T) := \exp \left( \sum_{n \geq 1} \#X(\mathbb{F}_{q^n}) \frac{T^n}{n} \right)$$

$$, \quad \in \mathbb{Q}[[T]]$$

• (Rationality)  $Z_X(T) \in \mathbb{Q}(T)$  as the form

$$\frac{(1-\beta_1 T) \cdots (1-\beta_s T)}{(1-\alpha_1 T) \cdots (1-\alpha_r T)} \quad \text{where } \alpha_i, \beta_i \in \bar{\mathbb{Q}}$$

• (Riemann hyp.)  $X$  sm proper of dim  $d$

$$Z_X(T) = \frac{P_1(T) P_3(T) \cdots P_{2d-1}(T)}{P_0(T) P_2(T) \cdots P_{2d}(T)}$$

where  $P_i(T) \in 1 + T \mathbb{Z}[T]$   
 factors over  $\mathbb{C}$  as  $\prod_{j=1}^{b_i} (1 - \alpha_{i,j} T)$   
 and for all archimedean  $\alpha_{i,j}$  an  $\mathbb{Q}(\alpha_{i,j})$ .

one has  $|\alpha_{i,j}| = q^{\frac{i}{2}}$

$b_i := \deg P_i$

$$P_i(T) = \det(1 - FT, H^i(\bar{X}, \mathbb{Q}_\ell))$$

independent of  $l \neq p$   $b_i = \dim_{\mathbb{Q}_\ell}(H^i(\bar{X}, \mathbb{Q}_\ell))$

$$\# X(\mathbb{F}_{q^n}) = \sum_i (-1)^i T_v(F^n, H^i(\bar{X}, \mathbb{Q}))$$

• (fun. eq.)  $Z_X\left(\frac{1}{q^s}\right) = \pm q^{\frac{s\chi}{2}} T^{\chi} Z_X(T)$

where  $\chi := b_0 - b_1 + b_2 - \dots + b_{2d} \in \mathbb{Z}$

Euler char.

$$" \pm " = (-1)^{N^+}$$

where  $N^+ = \# \left( \text{eigen} (F \text{ on } H^d(\bar{X}, \mathcal{O}_X)) = q^{\frac{d}{2}} \right)$

• Betti #  $b_i := \dim_{\mathbb{Q}_\ell} (H^i(\bar{X}, \mathcal{O}_X))$

if  $\exists \mathcal{X}$  sm. proper f.t.  $\mathbb{Z}_q$ -scheme

with  $X \cong \mathcal{X} \times_{\mathbb{Z}_q} \mathbb{F}_q$

fixing an embedding  $\mathbb{Z}_q \subset \bar{\mathbb{Z}}_q \subset \mathbb{D}_q \xrightarrow{\sim} \mathbb{C}$

Deligne 1973

$$X_{\mathbb{C}} := X \times_{\mathbb{Z}_q} \mathbb{C} \quad \text{then}$$

$$H_{\text{Betti}}^i(X_{\mathbb{C}}(\mathbb{C}), \mathbb{Z}_l) \xrightarrow{\sim} H^i(\bar{X}, \mathbb{Z}_l)$$

||

$$H^i(X_{\mathbb{C}}(\mathbb{C}), \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{Z}_l$$

$$\left( \begin{array}{l} H^i(\bar{X}, \mathbb{Z}_l) = \varprojlim_n H^i(\bar{X}, \mathbb{Z}/l^n \mathbb{Z}) \\ H^i(\bar{X}, \mathbb{Q}_l) \cong \varprojlim_n H^i(\bar{X}, \mathbb{Z}_l) \otimes_{\mathbb{Z}_l} \mathbb{Q}_l \end{array} \right)$$

Two particular,  $b_i = \text{rank } H^i(X_{\mathbb{C}}(\mathbb{C}), \mathbb{Z})$



1.4. Local - global principle.

$k = \mathbb{Q}$ .  $X(\mathbb{Q})$ .  $X$  projective.

$X(\mathbb{Z}) \neq \emptyset \Rightarrow X(\mathbb{Z}/\mathfrak{p}\mathbb{Z}) \neq \emptyset, \forall \mathfrak{p} \leq \infty$

$X(\mathbb{R}) \neq \emptyset$ .

$\mathbb{Z}/\mathfrak{p}^n\mathbb{Z} \dashrightarrow \mathbb{Z}_{\mathfrak{p}} = \varprojlim_n \mathbb{Z}/\mathfrak{p}^n\mathbb{Z}$

$X(\mathbb{Z}) \subseteq X(\mathbb{R}) \times \prod_{\mathfrak{p} < \infty} X(\mathbb{Z}_{\mathfrak{p}})$

$k$  — # field

$$\begin{array}{ccc} k \subset \pi^* k_v & & \mathcal{O}_v \subset k_v \\ \hline & \downarrow \pi & \\ & \mathbb{A}^1_k & \end{array}$$

1.5 Def. (i). Let  $k$  be a field,  $X$  a variety over  $k$  is a separated  $k$ -scheme of f.t.

$$(X(\mathcal{O}_v) \hookrightarrow X(k_v))$$

(ii)  $k$  a global field.  $X/k$  var

$X(k)$  ——— rational point

$X(\mathbb{A}_k)$  ——— adèlic point.

16. Rank. (i) If  $X$  is proper (say, proj)

then  $X(\mathbb{A}_k) = \prod_v X(k_v)$   
local point

(iii)  $X(A_k)$  has a natural topology

$$k_v \rightsquigarrow X(k_v) \rightsquigarrow X(A_k)$$


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$$X(k) \hookrightarrow X(A_k)$$

approximations.

For (non-proper)  $X$ ,

$$X(A_k) = \text{Hom}_{\text{Spk}}(\text{Spec } A_k, X)$$

||S

$$\prod_v X(k_v) \quad \left( \begin{array}{c} \text{w.r.t.} \\ \mathcal{X}_v(O_v) \end{array} \right)$$


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$X/A_k$

(integral model  
 $(\mathcal{X}_v \times_{O_v} k_v = \mathcal{X}_{k_v})$ )  
 $\text{Spa } O_v \rightarrow \text{Spk } k$

1.7

$$X(k) \subseteq X(\mathbb{A}_k)$$

$$X(k) \neq \emptyset \implies X(\mathbb{A}_k) \neq \emptyset$$

what about the converse?

1.8

~~Def~~

If

$$X(\mathbb{A}_k) \neq \emptyset \implies X(k) \neq \emptyset$$

(local-global)

we say

Hasse - principle holds

for  $X/k$

HP

1.9

thm (Hasse -

Minkowski)

For  $X/k$  defined by quadratic forms, HA always holds.

1.10. Carter-example

$$X: \quad \underline{3X^3 + 4Y^3 + 5Z^3 = 0} \quad / \mathbb{Q}$$

HA fails

$$\underline{\prod_{\mathbb{P} < \infty} X(\mathbb{Q}_p)} \neq \emptyset \quad \text{but} \quad \underline{\prod_{\mathbb{P}} X(\mathbb{Q})} = \emptyset$$

$$X(\mathbb{Q}) \supseteq \underline{\text{[scribble]}} \subseteq X(\mathbb{A}_{\mathbb{Q}})$$

obstruction . . . .

§ 2

# Brief review of étale cohomology

## 2.1 Motivation

• Weil conj.

$$H^i(X_c(\mathbb{C}), \mathbb{Q})$$

topology in  $\mathbb{C}$

~~top~~  $\vdots$

$$\underline{X/\mathbb{F}_q}$$

Zar.

but too coarse

$$\rightsquigarrow H_c^i(\bar{X}, \mathbb{Q}_\ell) = \bigoplus_{\mathbb{Z}_\ell} \bigoplus_{\mathbb{Q}_\ell} H_{\text{ét}}^i(\bar{X}, \mathbb{Z}/\ell^n \mathbb{Z})$$

• Gal coh.

$$\Gamma = \text{Gal}(k^s/k)$$

$$\Gamma\text{-module} \xrightarrow{\sim} \text{Ab}(k_{\text{sep}})$$

$M_F = \varinjlim_{K/k \text{ fin sep}} F(\text{Spec } K)$ 
(abelian) étale sheaves on  $k$

$\longleftarrow F$

$$\rightsquigarrow H^i(k, F) \cong H^i(\Gamma_k, M_F)$$



• Singular  $X/\mathbb{C}$

$$H^i(X_{\text{ét}}(\mathbb{C}), F) \cong H^i_{\text{ét}}(X, F)$$

finite ab gp

2.2

Crothendieck (pre-)topology

•  $\mathcal{C}$  category. A Croth. pre-top  
 $\tau$  on  $\mathcal{C}$  is collection of

$\{ U_i \rightarrow U \}_{i \in I}$  in  $\mathcal{C}$

$\hookrightarrow$  called covering

s.t.

—  $\{ U' \xrightarrow{u} U \} \in \mathcal{T}$

— If  $\{ U_i \rightarrow U \}$ ,  $\{ V_{ij} \rightarrow U_i \} \in \mathcal{T}$

then  $\{ V_{ij} \rightarrow U \} \in \mathcal{T}$

— If  $\{ U_i \rightarrow U \} \in \mathcal{T}$ : base change

by  $V \rightarrow U$  then

$$\{ V \times_U U_i \rightarrow V \} \in \mathcal{T}$$

$(\mathcal{C}, \mathcal{T})$  is (Covh.) site.

• 2.9  $\rightarrow$  (Small étale site)<sup>on  $X$</sup>   $\overline{X_{\text{ét}}}$   
 $X$  scheme  $\mathcal{C} = \text{cat. of } \text{étale}$

$X$  - schemes



$\{U_i \rightarrow U\}$   
 $\bar{u}$  a covering  
 if

$\coprod_i U_i \rightarrow U$   
 surj. ét

$Y \rightarrow X$  is étale if  
 it is flat and unramified  
 e.g.  $K/k$   $\text{Spec } K \rightarrow \text{Spec } k$   
 $Y \rightarrow X = \text{Spec } k$  is étale  
 iff  $Y = \coprod_{i=1}^{\lambda} \text{Spec } K_{\lambda}$  ( + flat )  
 $K_{\lambda}/k$  fin sep ext!